

Optimization Algorithm in Supply Chain Management



Shraddha Ramdas Bandekar, Vijayalakshmi C

Abstract: Optimization in the field of Operations Research has applications in various industries, be it medicine, business, analytics or education. Likewise Supply Chain Management (SCM) is required in every industry and with the need comes various challenges to get the optimized and best quality solution. There are stochastic, analytical models working on attaining optimization in various sub events involved in SCM. Supply Chain is a network at global level used for delivering of products and services from unprocessed materials to consumers through well-structured and planned flow of information, physical distribution and money. The process of managing this supply chain is Supply Chain Management. A major work on the previous research done using various mathematical models, be it mixed integer linear, nonlinear programming or evolutionary have been depicted in this paper. The aim is to get the best result and comparative approach is focused. This article provides a detailed study on various techniques, algorithms and mathematical models in optimization of SCM and in particular it focuses on Genetic Algorithm (GA) in SCM.

Keywords : Supply Chain Management, Supply Chain Management Processes , Optimization Model, Genetic Algorithm

I. INTRODUCTION

Sustaining a supply chain in efficient manner has been one of the most important goals of researchers since an era. In reality, a country's economy depends on effective well-organized supply chain developments. Nevertheless, due to the ever-rising competition among various companies and industries, the SCM models are getting complex each passing day. The priority now is to enhance the existing techniques and refine various algorithms by integrating different methods to attain the objective subjected to various constraints. Optimization of supply chain is the need the hour, since it is not sufficient to have just good supply chain network, but it is extremely important to attain an optimal solution to various objectives under SCM processes. Closed loop supply chain networks have also seen sufficient work and a brief review is given in[84].

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There exist around 8 processes under SCM and various work has been done in most of these sub-processes. These processes will be mentioned in the later section of the article. Supply Chain Management Optimization involves inventory control, transportation scheduling, supply chain network design, production and capacity planning etc. SCM is applied in almost all real world problems and one recent works would be of water management in Chennai using fuzzy approach by Gayathridevi et al. [36] Bharathi and Vijayalakshmi [49] used evolutionary algorithm for job shop scheduling designing and optimization. With optimization comes decision making depending on the kind of project and the tenure involved. Decisions in supply chain are mainly classified into three levels namely, strategical, operational and tactical. A long term project which require years of work involves strategical decision making whereas a mid-term work for say a few months or years is at tactical level and short-term, hourly or few days work is considered at operational level. Effective functioning of supply chain process requires optimization models. Research has been done in optimization in SCM using various models like Linear Programming (LP), Heuristics, Mixed Integer Programming (MIP), Multi-objective Linear Programming (MOLP), and Stochastic. Heuristics includes various hybrid algorithms, Genetic Algorithm (GA) and Genetic Algorithm - Simulated Annealing (GA-SA) etc. Recent studies shows GA has been extensively used in most of the SCM processes, be it inventory optimization, production and distribution planning or logistics. In this paper detailed review on above mentioned methods in addition with few more will be looked on, but a thorough study will be focused on Genetic Algorithm and it's various types. Genetic Algorithm is one of the most prevalent techniques used in supply chain optimization as it can adapt to any form of problem and gets accustomed with the main objective and constraints. More shall be discussed on this aspect in the coming sections of the paper.

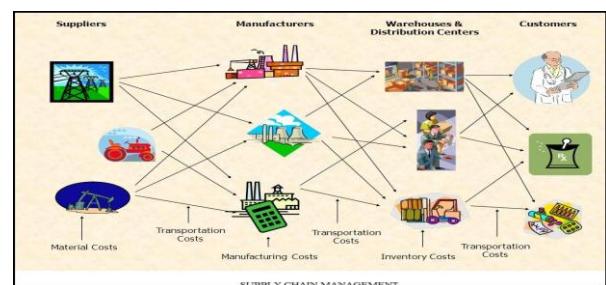


Figure 1: Processes involved in a Supply Chain Management

Following is a brief layout of the paper: A brief introduction on SCM and optimization is outlined in first section of the paper, section II will include a gist on different optimization models used in SCM, this will be followed by a brief history of genetic algorithm and its functioning in section III and section IV will emphasis on studies done using GA in supply chain management and different processes under SCM. In the end, conclusions are drawn in last section of the article.

II. OPTIMIZATION MODELS USED IN SUPPLY CHAIN MANAGEMENT

Optimization models as the term itself states is the required for operating supply chain process. With growing advancements comes intricacy in the problem which can be dealt by these models to a greater extent. The author now provides a review based on the models used in SCM optimization. Linear Programming (LP) is a branch of mathematics which deals with solving optimization problems with objective to minimize or maximize a function subjected to certain constraints, and it has been used in supply chain optimization time and then. Alawneh et al. [3] worked on inventory control optimization for a steel company and formulated a linear programming model for the same focusing on the supply of desired amount of raw materials from supplier as well as optimal amount of products to be delivered to customers. In [28], the authors have worked using commercial linear programming for optimization in distribution problem with multiple products and facilities. Oh and Karimi [67] used this model for planning of distributions with certain drawbacks. From [69], it could be seen that non-hierarchical supply chain have utilized linear programming for optimization. Uzorh et al. [31] and Aliyu et al. [8] have worked on transportation problem which is an inevitable part of SCM with the objective of cost minimization and considering different factors. Kumanan et al. [74] also formulated a linear programming model to minimize the cost of transportation from plants to distributors to retailers. Unlike LP, Mixed Integer Programming (MIP) adds a condition to the given set of constraints, that no less than one variables can take only integer value. MIP is used for formulating various models of which most are solved using different algorithms or techniques with the help of different software. Altıparmak et al. [33], Farahani and Elahipanah [32] and Zegordi et al. [78] have a provided MIP formulation for multiple product supply chain network design, cost and service level optimization considering just-in-time distribution and in two-stage supply chain scheduling respectively. Agarwal and Johari [1], designed a mixed integer model to solve problem involving minimization of the total cost of transportation in logistics network with multiple facilities and single product. Ali [4] framed MIP model for minimizing sum of investment, transportation and shortage costs taking supply chain disruption as a parameter.

Saha et al. [7] used an Mixed Integer Linear Programming Model (MILP) for a closed loop supply chain involving forward and backward flow of products to optimize the facility location and reducing supply chain network cost. In the same context, Das and Chowdhury [26] depicted a mixed integer linear programming model in maximizing the overall project profit taking into consideration distinct solid products levels of the market as well as architectural design. Harahap et

al. [81] developed MILP model for simultaneous optimization of transportation cost and inventory for a multiple echelon supply chain wherein the customers demands are to be met from the warehouse. Amiri [5] worked on providing efficient solution in designing of a distribution web with the goal to optimize the cost involved in distribution with aim to determine optimum number of locations, capacities, warehouse etc.

Mixed Integer Non-Linear Programming has been used extensively in formulating problems in various supply chain management optimization problems. Nasiri et al. [64] developed a complex non-linear model considering multiple distribution centers, suppliers and production sites with 3 stages to optimize the distribution and logistics network and later solved the problem using GA, Lagrangian Relaxation and other models. Nasiri et al. [39] projected an integrated inventory, location and allocation decisions for plan of the distribution network. This technique was developed as MINLP and Lagrangian Relaxation approach was used to get the desired solution. Creazza et al. [23] did a case study on the Pirelli tyre European logistics network using mixed integer linear programming model and solved the problem using LINDO solution technique. Guillen-Gosalbez et al. [38] modelled a bi-criteria MILP for the problem considered and a pareto solution was computed through e-constraint method. Monteiro et al. [55] used a MINLP for a three arbitrarily generated cases of a network plan in supply chain including inventory levels in warehouse built on certain stochastic demands of consumers. They applied outer approximation algorithm as a solution technique to get the optimal result. Liao et al. [58] developed a base problem using mixed integer non-linear programming of a company with 15 distribution centers and 50 buyers for attaining multiple objectives with location-inventory and vendor management. Thiripura and Vijayalakshmi [85], [83] have designed, analyzed optimal non-linear inventory model in SCM as well as worked using Lagrangian model considering reverse supply chain with multiple entities in the former and uncertain quantity in the later. Multi-objective optimization is basically an optimization problem that has more than one objective and aims at attaining those objectives simultaneously. Additionally, there exists certain conflicts with multiple objectives, since at certain conditions attainment of one of the objectives dominates the others. Here comes the concept of Pareto optimal solutions. Pareto optimal solutions are such that, there is no other solution which would improve one of the objectives without causing a worsening. Optimization with multiple objectives in supply chain management to attain two aims i.e minimizing cost of supply chain and maximizing delivery reliability was worked on by Prasannavenkatesan and Kumanan [70] for both domestic and global suppliers. They used particle swarm optimization and simulation technique for further solving. Yeh and Chuang [96] considered multiple objectives which included cost, quality of product, time and green appraisal score and solved the modelled problem using genetic algorithm. Rezaei and Davoodi [72] worked on multi-period lot-sizing problems which involved multiple products as well as suppliers developing a multi-objective mixed integer non-linear model.

Kavitha and Vijayalakshmi [19],[20],[21]worked on Multi Objective Fuzzy Linear Programming (MOFLP) techniques for weighted additive model for selection of supplier in SCM, wherein they considered cases to incorporate Zimmermann method and weighted method along with MOLP and in the later using fuzzy approach with MILP.

A general supply chain management model with multiple objectives is depicted below:

$$\begin{aligned} & \text{Min/ Max } F_i(x); \quad i = 1,2,3, \dots N \\ & \text{Subject to Constraints} \\ & G_j(x) \leq (\text{or } \geq) 0; \quad j = 1,2,3, \dots N \\ & x_k \geq 0; \quad k = 1,2,3, \dots N \end{aligned}$$

In SCM , the minimize objective function is cost function which includes transportation cost, manufacturing cost, distribution and suppliers cost which sums to "Total Operational Cost" and the maximize function is profit and efficiency. The constraints relate with demands, amount of materials to be shipped and lead time so on.

A heuristic is a method designed to solve a problem faster when traditional techniques tend to be time consuming in providing solutions, or to determine a solution when it is difficult to get the exact solution using classic methods. A meta-heuristic is a higher level heuristic developed to find heuristic that may give better solutions to an optimization sum. The different heuristics include Lagrangian Heuristic (LRH)[14],[53] wherein the former one considered multiple plants producing the same item was considered and the main focus on optimal lot sizing in the supply chain considering different periods and the later aimed at minimizing total cost production, inventory and distribution considering multiple plants, in [98] Ant colony system -Tabu search (ANT-TABU) was used for vehicle routing optimization using meta heuristics, MOLP based Heuristic algorithm [60] was approached considering complete cost and time flow and all lost sales as main aims, MILP-GA has been discussed above, Mixed-integer programming based heuristic algorithm (MIPH) could be observed in [50],[66], hybrid simulation mathematical model, Tabu search heuristic algorithm (TABU) [6], Simulated Annealing (SA) approach was used by Safaei et al. [77]to explore real world system concerning transportation and distribution control under supply chain management, Lagrangian and genetic algorithm (LR-GA)[64], [63] preferred Lagrangian relaxation in addition with Surrogate Sub-Gradient algorithm (LR-SSG) approach for design of supply chain network with multi mode demand taking into account all the demands of customers. We shall be discussing Genetic-Algorithm (GA) in detail in the coming section. In [11], Bharathi and Vijayalakshmi used evolutionary algorithm approach for optimization of transportation with multiple objectives using a special bi-partite graph encoding method.

III. GENETIC ALGORITHM

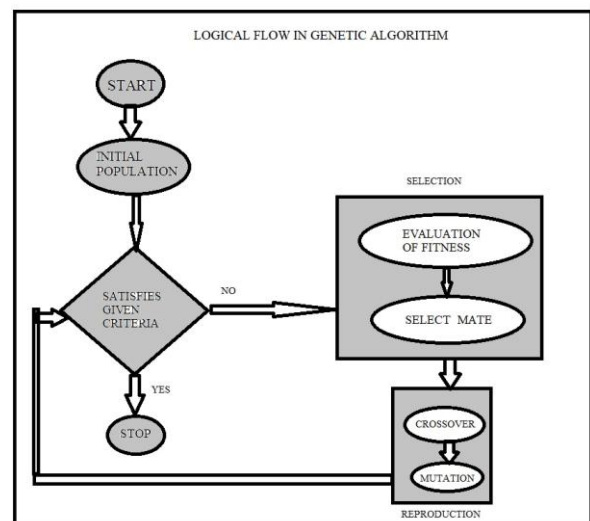
An evolutionary algorithm is an algorithm that uses nature inspired mechanisms and solves problems through processes that match the behaviors of living organisms. Genetic Algorithm which was first introduced by John Holland and his team of colleagues in the year 1975. These algorithms are based on Darwin's theory of survival of the fittest and based on the same is genetic algorithm and is one of the extremely

used search methods for optimization works. Genetic algorithm is a direct search algorithm which implies no prior information on the gradient of the objective function is required for solving the optimization problem[43].

The steps involved in genetic algorithm are:

1. Randomly selecting the initial population which serves as the initial solution
2. Next the given criteria of the problem is considered which is the deciding condition. If the initial population satisfies this condition, then go to stop, else go to the selection stage.
3. In the selection stage the fitness function is evaluated and the individuals which conform to the fitness function are selected for mating.
4. Now comes the reproduction stage which involves:
 - **Crossover:** Crossover is held on the basis of predefined crossover probability for production of offspring.
 - **Mutation:** Mutation is performed on basis of the predefined mutation probability wherein any random bit in genetic sequence is altered.
5. Check whether the newly produced offspring(solution) satisfies the criteria.

If the condition is not satisfied, continue the process else terminate.



IV. GENETIC ALGORITHM IN SUPPLY CHAIN MANAGEMENT PROCESS

Supply chain management is organization of the supply chain which is system of suppliers, manufacturers, storage buildings, distribution hubs and vendors via which raw materials are converted and polished to final products which are delivered to the customers. Supply chain not only involves products but also services are provided to the customers. A thorough study on performance measures in supply chain management namely qualitative performance measures, quantitative performance measures, measures based on cost and customer responsiveness was done by Beamon et al. [10]. Genetic algorithm is applied in varied fields of supply chain management, be it vendor management replenishment system[16],

supply chain logistics networking [74], [30], inventory optimization [80] as well as in economic lot-size scheduling and modelling. The Council of Supply Chain Management Professionals have listed 8 sub-processes under SCM. These processes will be discussed in detail along with the existing work done in the different sectors.

4.1 Manufacturing Flow Management

Manufacturing is basically adding value to raw materials so that it can be used by the mass. Manufacturing flow management involves "Inventory Management", "Supply Chain Planning" and "Production Planning" and basically deals with activities associated with these sub-domains namely transportation, employing of vehicles, flexibility in supply chain and production plan etc. The flow in manufacturing starts with suppliers followed by assembling of the parts and inspection of the materials followed by shipping of the inspected materials.

4.1.1 Inventory Management

Best service is provided to the consumers provided the planning of inventory in supply chain management is done in efficient way. Inventory optimization is intended on improving customer services, decreasing lead time as well as costs and upholding with the market demands. The outlays associated with inventory systems administrative cost, maintenance cost and shortage costs. In [91], the authors Umamaheswari et al. have designed and analyzed optimal inventory model considering perishable goods with fixed life time. GA was used by Sadok et al. [76] for the analysis of bi-objective routing problem in inventory where transportation and delivery were calculated independently. For production of quality solutions an adaptive genetic algorithm was proposed by Cho et al. [17] to an inventory routing problem which is time dependent. In [46], a study was done multi-product and multi-period inventory models using the approach of genetic algorithm. Diabat [29] applied GA to get solution to a Vendor Management Inventory using hybrid algorithm in a two-stage supply chain. Jackson et al. [47] used simulation and genetic algorithm in stochastic inventory system with multiple products. Singh and Thakur [80] designed a new effective approach applying genetic algorithm to provide the most likely shortage level and excess stock level to decrease the total cost in the system. They used the fitness function,

$$f(k) = \log \left(1 - \frac{N_c}{N_p} \right)$$

where $k=1,2,3,\dots,m$ to identify the fit individuals for applying GA. Here, N_c represents number of occurrences of chromosomes throughout the period, N_p represents total inventory values after clustering and m is number of chromosomes for which evaluation of fitness function is done.

4.1.2 Supply Chain Planning

Planning of supply chain, in maximum initiatives, is organization of supply and demand related doings to lower discrepancies in complete chain. Han and Damrongwongsiri [13] used genetic algorithm to design optimum resolutions via an optimization method with two stages for planning of supply chain in collaborating, moreover a prototype for

strategic resource planning and operational needs of manufacturing small and medium sized enterprises was presented by [45] and Huang et al. [44] took a GA based model to include production and sourcing decisions of the supply chain.

4.1.3 Production Planning

A thorough planning of production as well as distribution plays a crucial role in performance capacity. Factually, distribution and production planning have been considered to be independent of one another, as in the impact of one on each other was taken to be nil. However, research in this field over past few years imply that integrated production and distribution planning helps optimize the safety stocks for unexpected distribution disruptions and demands. Production planning in SCM involves demand management, aggregating the planning of production, mastering the schedule, planning of material requirements and controlling the production activity. Study on scheduling of the production considering maintenance factor was studied by Wong et al. [93] based on a GA approach. In enterprise resource planning optimization, GA was used by Tan and Zang [87] in improving system performance by analyzing the production planning decision factors. Mok et al. [62] proposed algorithms for default allocation of work based on genetic algorithm and group technology. An enhanced approach of GA for optimization of production planning along with supplier selection taking into account flexibility of customer was presented by Cui [24]. In [35] a lot-scheduling problem was worked on using GA and in [22] a hybrid GA which combined features of random sampling search with GA was used to solve a scheduling problem.

4.2 Order Fulfillment

Customer service performance is reflected by one of the crucial parameters which is order fulfillment. The order fulfillment cycle begins receiving the materials followed by assembling and quality checking. Once the quality is assured, the products are packed and shipped from warehouse.

4.2.1 Logistics Network Designing

Planning of logistics system is a well calculated matter due to the impact it has on the effectiveness and responsiveness of supply chain [32]. This procedure deals with number of warehouse and location of plants, demand allocation of customers and distribution from warehouse. Several authors have worked on logistics and network planning. [68] worked on discrete model of logistics network design using GA. Cheng et al. [15] used Monte Carlo simulation based genetic algorithm to provide a continuous balanced model of network plan of stochastic demands and supplies. Soleimani and Kannan [82] made use of particle swarm technique of optimization and GA in supply chain with closed loop in huge scale network. Hiremath et al. [42] used multi-objective genetic algorithm for optimization of consequential network problems and Pasandideh et al. [79] proposed evolutionary algorithms NREGA and NSGA-II for optimization of bi-objective problem involving multiple entities in three-stage supply chain under certain uncertain conditions.

4.2.2 Vehicle Routing

In [54], [59] authors have presented a hybrid approach merging GA with an iterated local search for efficient location-routing problem also the later also considered time window. Hsu et al. [56] suggested a hybrid genetic algorithm for vehicle routing having lesser capacity. On the other hand Cai et al. [97] provided solution to a vehicle routing problem with various depots and coincident pick up and delivery time windows. Ahmadizar et al. [2] used GA for cross-docking in three stage supply chain for vehicle assignment at two levels.

4.2.3 Other Issues

In addition to vehicle routing and logistics network, there are other factors concerning order fulfillment like replenishment policies optimization with different number of warehouse and retailers [94], facility location [92], [71] and man power shift plan using GA [65] etc.

4.3 Demand Management

Demand management covers activities relating the demands, market developing and sensing and demand controlling. As per [73] demand management includes 2 important sub-sections namely sales forecasting and bullwhip effect.

4.3.1 Sales Forecasting

Sales forecasting is a method involved in practices which involves decision-taking and it plays a crucial role in goods and services marketing. Genetic algorithm was applied in optimizing predictions of coal sales via neural network optimization by Zhang and Qinghe [86] and Gao et al. [57] developed an integrated model of decision tree algorithm and genetic algorithm for the same. In [89], authors presented GA for forecast of car sales.

4.3.2 Bullwhip Effect

In [52] bullwhip effect is such that a minute discrepancy in the demand from end consumers alters the whole flow of events as one proceeds higher level. Othman and Gomma [84] used control engineering and genetic algorithm to reduce bullwhip in supply chain and in [90] GA is applied for the same but to obtain the optimal amount of ordering in a chain with multiple stages. Tosun et al. [88] used parallel GA approach and Devika et al. [27] applied evolutionary multi-objective metaheuristics for the same.

4.4 Suppliers Relationship Management

Supplier relationship management deals with optimum vendors, discovering new vendors, prediction of procurement, getting the buyers and segregate the gains of supplier partnership. The different steps involved in supplier relationship management include strategic value identification, supplier segregation, performance management, influencing and deliver value. In [95] GA approach was used with budget constraint to optimize supply chain allies and in [96] multi-objective GA was applied to achieve pareto-optimal solution in multi-vendor system. GA was used in supplier evaluation and selection [75], [18] and obtain solution using GA.

4.5 Returns Management

Management of returned amenities is a section which involves reverse logistics wherein products are returned due to defects

or unsatisfied service or quality. Hence, it is extremely important to optimize returns management in order to avoid losses. Gheezavati and Nia [37] solved an product returns optimization sum using genetic algorithm and simulated annealing. In [41] authors considered returned product's cost and time satisfaction degree of retailers to optimize inventory routing problem using adaptive GA. [40] worked on spare parts returns using simulation based GA and Trappey et al. [25] presented a quantitative as well as qualitative method with GA to estimate RFID enabled reverse logistics.

4.6 Development of Products and Commercialization

Planning of this process in efficient manner is quite important for a sustainable profit in supply chain. The different steps involved are concept advancement, thorough research, pre and post production, assembling and commercialization. Fernando and Escobedo [61] used a hybrid GA approach and Bao et al. [Error! Reference source not found.] used adaptive GA for pharmaceutical product development and for optimization of task allocation in customized product development respectively. Fung et al. [34] used GA search technique to attain optimal affective design of products.

4.7 Customer Service Management

It is a process which is involved with client administration and this section depicts the face of the firm to its consumers. [12], important sub-sections namely sales forecasting and bullwhip effect.

4.8 Customer Relationship Management

This is a customer-centric strategy in business which a company implements to better customer understanding and level of customer satisfaction by refining their goods and amenities to customers' needs [51]. In [51] evolutionary computing is used.

V. CONCLUSION

Optimization is an extremely important need of the hour in every industry. Genetic algorithm, since its birth has evolved as influential tool in various domains. In this paper we have reviewed various optimization techniques used to develop the objective function and various types of genetic algorithm to obtain solutions. In customer service and relationship management the authors could not find much articles. The challenge that lies in today's era is the emerging complexities in supply chain management due to competition. The focus is to develop different variants of genetic algorithm with multiple objectives and researching on the techniques like MILP and MINLP for bringing out effective and efficient genetic algorithms. Supply Chain Management is vast topic of research and the complexities are growing every hour.

The future scope is to integrate distinct factors and decision variables on which the system depends like flexibility with routing and loading, considering multiple periods and stochastic demands, optimizing customer relations and services.

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