

# IRIS Recognition: A new Dataset Without Different Noise levels and Occlusions

M. Roshini, G. Vasanth, G.V. Kodandaramaiah, S.A.K. Jilani

**Abstract:** *Biometrics is the study about an old technology available for many years providing identification and authorization to humans based on their physiological or behavioral characteristics. Whatever may be the era either past or present a person has to prove his identity in all sectors for getting authentication or authorization. This paper focuses on the importance of Biometrics particularly in the recent bloom technology which is IRIS recognition. A clear description of the pros and cons of this technology are mentioned. The IRIS recognition is where a person is identified based on Iris, a unique pattern located between pupil and sclera. The success depends majorly on one of its important steps known as Segmentation. The methodology which is followed for verification and authentication is presented. The existing databases are mentioned that are used for Iris recognition. A new database is introduced with a BMP format gray-scale image for identifying a person. A new Database without different noise levels and occlusions are introduced for the authorization of a person.*

**Keywords:** Iris, Pupil, Sclera, Segmentation, Databases.

## I. INTRODUCTION

"IRIS" referred to as a Biometric ancient civilizations trait of Egypt to Chaldea in Babylonia, China and also Greece believed in a divination concept called "Iridology", which deals with iris patterns of the eye. There a famous olden day saying that "Eyes are the windows to know one's soul". Fig.1 represents the eye structure and its internal parts. Though the variation in iris patterns was observed and was suggested to be used for personal identification for the past one century, a practical or commercial iris detection paradigm was generated and patented by John Daugman, a computer scientist only in 1989. The Human frontal eye consists of iris, pupil, sclera. Figure 1 further illustrates the human frontal eye. Human irises have a unique shape which gives twisted tiny features, like freckles, coronas, and stripes, those are the eye-catching features of an iris and are basically known as iris texture that makes it extraordinary and suitable for the biometric measurement [13] [12]. The iris is a thin diaphragm residing in the middle of the cornea and human eye lens. The iris breaches nearer to its middle by a spherical shape called pupil. Iris function is controlling the lighting that is entering via the pupil.

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At an average, the diameter of an iris is about 12mm and the size of the pupil varies from 7.5 to 8 mm.

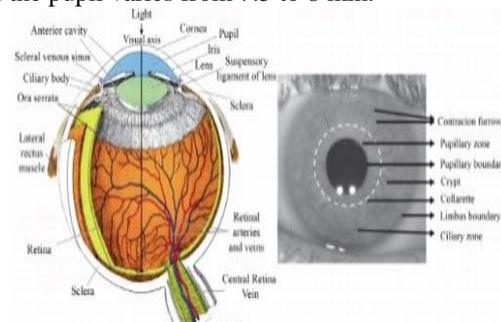


Fig.1 The structure of the eye

## A. History of IRIS:

1885 - French police official, Alphonse Bertillon suggested the iris purpose for personal recognition based on identification of its texture and color.

1936 - An ophthalmologist knew Frank Burch suggested that iris structures help in identifying an individual.

1949 - James Doggart, examined iris pattern complexity and suggested that it could be used instead of the fingerprint.

1985 - Dr. Leonard Flom with Aran Safir, together ophthalmologists got patented for proposing no two irides are alike in 1987.

1987 - Two ophthalmologists Leonard Flom and Aran Safir after making a thorough study, patented Doggart's concept.

1993 - The Defense Nuclear Agency started testing and delivering a prototype unit by the team Drs. Flom, Safir, and Daughman.

1994 - Dr. Daughman worked at Cambridge University, was being awarded a patent on Iris Codes® for his iris detection algorithm.

1995 - The first commercial product comes into existence.

2005 - Many algorithms for iris recognition came into existence.

## B. Introduction to Biometrics

Recognition and Authentication of an individual played a prominent role in past days as well as today, where so many inventions are carried on day by day because of its importance. Nowadays computers and electronic gadgets are highly extensively utilized and the considerable increase in the world's population and it is required to provide high-level authentication technology. Conventional methods like user id, passwords, ID cards, token-based systems cannot be prolonged for a long time and is safe enough in most of the security-based domains. The present community needs an instant and reliable authentication procedure [16].

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Currently, the biometric identification system proved to be highly reliable regarding identification and authentication. Biometric deals with analyzing the human physiological or behavioral conditions for the security aspect and the "Biometric" term are derived from Greek phrases "bios" meaning life and "metrikos" meaning measure. The Biometric characteristics are impossible to duplicate, forged, predicted and theft easily. Biometric detection methods help in inheriting the physical features that are different from the rest of the people. The specialty of the iris structure makes it with high stable and reliable to detect when contrasts with the rest of the biometric methodologies, like face, palm print, hand geometry and voice [14][15]. The Human Identification at a Distance (Human ID) project aims at developing the methodologies for detecting, recognizing, and identifying the humans who visible at long distances. Various researchers put efforts into being undertaken in gait, face, and iris. The following table shows the comparison of some of the important existing biometric techniques used for authentication.

TABLE I: Comparison Of Existing Biometric Techniques Used For Authentication.

S.No	Technique	Misidentification rate	Features Considered	Accuracy	Social acceptability	Devices used	Cost
1	Iris recognition	1/1200000	Iris patterns	High	Medium - low	Camera	High
2	Finger printing	1/1000	Patterns	Medium	Medium	Scanner	Medium
3	Hand	1/700	Size, length, and shape of Hand	less safety zone	High	Scanner	Low
4	Facial recognition	1/100	Outline, shape, distribution of eyes and nose	Low, less safety zone	High	Camera	Medium
5	Signature	1/100	Shape of letters, writing order, pressure	less safety zone	High	Optic pen, Touch panel	Medium
6	voice	1/30	Voice characteristics	Low - telephonic services	High	Microphone, Telephone	Medium

### C. Introduction to Iris Recognition

The Iris recognition method as biometric recognition was found in the early 1930s and patented since 1994. The method recognizes a human in the analysis of random and unique structures of iris. The color of iris and its structure are

genetically inherited but not the texture of iris. Though genetically alike a human's iris is different and varies in shape. The iris is a muscle in an eye which adjusts the pupil size and manages the lighting entering into an eye. The color is because of the amount of melanin within the muscle. The structure will be formed before birth and from the year of one month, the texture remains stable throughout life. The single LED does not cause any damage while capturing iris, whereas more than one LED's if not carefully designed can cause damage to eyes.

Two formats of iris images are used:

1. The image can be crude or compacted and can be a size variation dependent on the field of view and compression or shading.
2. The image is compact than first contains only the iris information that can be raw or compressed is a polar image generated after preprocessing and segmentation steps.

Iris recognition got high popularity because of high recognition accuracy. The accuracy and reliability are possible only for good quality images. Traditional iris detection systems monitor based on the below conditions:

1. Short acquisition distance: This distance between the user and capturing device is considered as standoff distance. Usually, the user must be close to the sensor with less than one meter.
2. High user cooperation: The user has shown more attention while capturing the image.

The main drawback with the second condition is the user has to stop and stare at the sensor. More research has done on the image acquisition criterion itself. Few efforts are done in the IR system more than 1 meter.

### D. The Major Applications:

- Controlling access to constrained zones;
- Prisoner booking and discharge;
- expediting security screening at air terminals;
- Detainee recognizable proof and suspect following in Iraq and Afghanistan; and
- Biometric Identity Cards
- Substituting for travel papers in mechanized outskirt crossing for screening and movement control;

## II. IRIS RECOGNITION ALGORITHM

### A. Daughman Algorithm:

1. Iris capturing requires a high-quality advanced digital camera. At present, the infrared light technology is used to capture the iris with less impact or discomfort to the subject.
2. Iris localization is important if done improperly the noises like eyelids, eyelashes, reflections, and pupils in the image results in poor performance.

3. Iris segmentation is done using 2D Gabor wavelet filters that use phase information (spatial frequency). The phase data ought not to be affected, conversely, camera addition.
4. Iris recognition is done by comparing two Iris Codes by contrasting the two codes. For this purpose Hamming Distance (HD) is used. If HD is smaller than 33% of the bytes present in the Iris Codes are differently indicated the pattern belongs to the same iris.

### B. Other approaches to Iris recognition

Iris hazards with noise were analyzed [6]. Pattern detection and its significance in the iris-related biometric system are introduced by Unar et al. Zhu et al. [7] took a shot at an iris-based biometric framework for irregular number generator. Iris related biometric framework is said as a functioning inquiry area and it is driven by different applications towards confirmations and distinguishing proof of individual personality.

To improve the iris recognition effectiveness for biometric identification, the Hough transform using Histogram thresholding the gamma correction approach is recommended. Daugman used an Integro-differential Operator (IDO) to detect the iris and the portions of the pupils, and also the upper arcs and lower arcs of eyelids. The operator searches for a circular path by varying pixel values, also by modifying the radius and center p and q positions of the circular contour. The operator is applied iteratively with the measure of smoothing logically limited to get the exact localization. Ritter et al. applied active contour strategies to limit the pupil in eye images. The shape incorporates different vertices, whose positions are changed by two contradicting powers, an inward power, which depends on the ideal.

To increase the accuracy Ritter et al. utilized the variance image, instead of the edge image. Kong and Zhang [8] proposed an approach to detect eyelash, where eyelashes can be treated under two types, one is separable eyelashes, which can be separated in an image, and the other is multiple eyelashes, which may overlap in the original image, The first category uses global ID filters as the convolution of those is done with the Gaussian smoothing function yields with the lowest output value [9]. Along these lines, if a resultant point is lesser than an edge, we can see that this point has a place with an eyelash. The vast majority of the eyelashes are recognized dependent on force fluctuation. On the off chance that the difference of force esteems in a little window is lesser than an edge, the window's focal point is treated as a point in an eyelash. The Kong and Zhang model likewise utilizes a connective paradigm, so every point in an eyelash must guide with another point in an eyelash or an eyelid. Specular reflections along the eye pictures are recognized by utilizing thresholding as the power esteems in these locales are more prominent when contrasted and different districts in a picture. In [2] concentrated on ocular biometrics including iris recognition. Biometrics is the science that manages the discovering individuals based on their conduct or physical standards like face, iris, fingerprints, and voice. In paper coordinated blunder amendment codes and fingerprints to build up a compelling biometric framework. There is an examination focused on a multi-model biometric framework utilizing face and iris mix. SVM and highlight determination strategies are utilized in the acknowledgment technique.

Research [4] presented a worldview for iris division by utilizing Circular Hough Transform and K-Means calculation.

### Iris recognition metrics are:

1. Match rate
2. Acquisition rates
3. Effort levels,
4. Livens detection,
5. Ease of integration with external systems.

### III. EXISTING DATABASES AND COMPARISONS:

#### A. Definition

A database is used for storing a collection of relevant information. The Iris database stores eye images that contain the unique pattern existing in a human eye along with different noises like eyelids, eyelashes, reflections, and no support from the subject. By using two types are sensors images can be captured.

1. **Visible spectrum:** This image can be stored as a color image or as an intensity image. The wavelength ranges from 380-750 nm. This wavelength iris images can contain reflections and other noises.
2. **Near-Infrared (NIR):** The NIR image is always stored as an intensity image. The wavelength of NIR ranges from 700-900nm. The clarity of these images is higher compared to the visible spectrum.

For research in Iris recognition, the first requirement is data. That data is available in publicly available iris databases. The research work is done to demonstrate the effectiveness of introduced iris segmentation methods and permit fair comparisons with existing methods. A perfect iris database should be enough large, that contains images gathered from a different group of subjects, and also contains noise images. The following section demonstrates the various publicly available databases along with the contrast with the other databases. CASIA, UBIRIS, IITD, BATH, ICE, UTIRIS, UPOL, MMU, WVU,

#### B. Introduction to CASIA database with different versions

With the rapid enhancement of iris image detection technology, iris detection is expected to become a basic component of current society. Nevertheless, the performance of iris recognition systems in unconstrained platforms is still away from preciseness. Iris localization, nonlinear normalization, occlusion segmentation, livens detection, large-scale identification and the other research complaints all required further investigation. The public domain CASIA Iris Image Database promotes research and progress in iris recognition.

- **CASIA Version 1.0:** - Full form of CASIA "Chinese Academy of Sciences Institute of Automation". This database stores 756 iris pictures chosen from 108 eyes. The Illumination while capturing the iris is 850 nanometer. The Near-Infrared Scanner is a Homemade Iris camera. All subjects are Chinese aside from a few 3 pictures that were selected in the first session, staying 4 in the next sessions [17]. The resolution of the bit map image is found to be with 320 \* 280 goals.

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1. **CASIA Version 2.0:** - This database is utilized Biometrics Verification Competition (BVC), held in a conference, China which contains two unique devices.
2. **Iris pass-h** by OKI and indoor environment is considered which includes 60 classes from which 1200 images with a resolution of 640 \* 480.
3. **CASIA-IrisCamV2** a self-developed device with an indoor environment that includes 60 classes from which 1200 images with a resolution of 640 \* 480. An illumination of NIR is used, grayscale images are used and mostly the pictures are taken from Chinese

- **CASIA IRIS Version 3**  
**CASIA-Iris-Interval**

Iris images of CASIA-Iris-Interval were caught with a self-grew close-up iris camera demonstrated as follows. Nature is indoor. Two sessions for most iris images acquisitions. The pictures were caught by graduate understudies of CASIA. Among 249 subjects with 395 classes, 2,639 pictures were caught with a goal of 320 \* 280. The qualities of this iris camera are that it was structured with a roundabout NIR LED exhibit, with a relative brilliant motion for iris imaging. With this new model, the camera can catch iris pictures with high clearness. CASIA-Iris-Interval is most appropriate to break down the point by point surface highlights of iris pictures

- **CASIA-Iris-Lamp**

This was set up by utilizing a hand-held iris sensor created by OKI IRISPASS-H, with indoor with the light on/off, a situation in a solitary session. The greater part of the alumni understudies of CASIA included. 411 subjects of 819 classes with 16,212 pictures with 640\*480 goals. Versatile twisting of iris surface because of development and withdrawal of students on unmistakable enlightenment conditions as the most widely recognized and testing issues in this iris recognition. So CASIA-Iris-Lamp is ideal to consider the issues of non-direct iris standardization and strong iris highlight portrayal.

- **CASIA-Iris-Twins**

This is the main straightforwardly accessible iris image dataset of twins. CASIA-Iris-Twins images were accumulated during the Annual Twins Festival in Beijing utilizing OKI's IRISPASS-h camera. Although iris is fundamentally considered as a sort of phenotypic biometric includes and even twins have their special iris structures, it is fascinating to think about the divergence and closeness between iris pictures of twins. The no. of sessions are one, the earth considered is open air. The Number of subjects was 200 with 400 classes caught 3,183 pictures with 640 \* 480 goals.

### 3.2.4. CASIA-IRIS V4

CASIA-IrisV4 is an expansion of CASIA-IrisV3 and has 6 subsets. The three different subsets included in this dataset are CASIA-Iris-Interval, CASIA-Iris-Lamp, and CASIA-Iris-Twins individually. The other three datasets include CASIA-Iris-Distance, CASIA-Iris-Thousand, and CASIA-Iris-Syn. CASIA-IrisV4 contains 54,607 iris pictures from over 1,800 authentic subjects and 1,000 virtual subjects.

All iris pictures are 8 piece dim level JPEG records, accumulated under close infrared light or integrated. The 6 informational collections are accumulated or combined at unmistakable occasions and CASIA-Iris-Interval, CASIA-Iris-Lamp, CASIA-Iris-Distance, CASIA-Iris-Thousand may have a little between subset cover in subjects. CASIA-Iris-Interval, CASIA-Iris-Lamp, CASIA-Iris-Distance pursue comparable characteristics as in CASIA V3. Presently we will examine the staying three.

- **CASIA-Iris-Distance**

This is the first transparently accessible long-range and top-notch iris/face dataset. CASIA-Iris-Distance has iris pictures caught by utilizing self-grew long-extend multi-modular biometric picture discovery and acknowledgment framework (LMBS,). The improved biometric sensor can recognize the users from 3 m away by effectively looking through iris, face or palm print structures in the visual field through a wise multi-camera imaging framework. The iris pictures of CASIA-Iris-Distance are caught by a high-goals camera henceforth both double eye iris and face designs are included in the region of interest. Furthermore, point by point facial highlights, for example, skin designs are additionally unmistakable for multi-modular biometric data combinations. The earth is indoor with a solitary session, where the greater part of the understudies is from CASIA. Among 142 subjects with 284 classes and 2,567 pictures with a goal of 2352 \* 1728

- **CASIA-Iris-Thousand**

CASIA-Iris-Thousand contains 20,000 iris pictures from 1,000 subjects, which are accumulated by utilizing IKEMB-100 camera created by Iris-King. IKEMB-100 is a double eye iris camera with benevolent visual input, understanding the impact of "What You See Is What You Get" with an indoor situation with a light on/off. The pictures can be caught from the understudies, laborers, ranchers in major range dissemination of ages. The jumping encloses indicated the frontal LCD help the clients to alter their posture for excellent iris picture obtaining. CASIA-Iris-Thousand is the first straightforwardly accessible in iris dataset with over 1000 subjects, it is appropriate to ponder the uniqueness of iris qualities and create novel iris order and ordering draws near.

- **CASIA-Iris-Syn**

It comprises of 10,000 images of 1000 unique classes. Features from these images are synthesized instantly from a subset of CASIA-IrisV1 with the method depicted in [1]. The resolution of the pictures is 640 \* 480. At that point, the iris round parts are implanted into the real iris pictures that make the fake iris pictures to obvious progressively sensible. The intra-class varieties brought into the combined iris dataset incorporates distortion, obscuring, and revolution, which prompts a difficult issue for iris highlight portrayal and coordinating. We have depicted in [1] that the combined iris pictures are outwardly sensible and the majority of the subjects can't differentiate real and counterfeit iris pictures.

## UBIRIS

- **UBIRIS.v1** - It comprises the world's top open and freely accessible iris database to date. The database has 1877 images acquired from 241 practitioners in two different sessions with Visible Wavelength. Images that are having noise factors and least constrained environments; for the first session of image capture, the enrollment attempted to lessen the noise factors, particularly which are related to reflections, luminosity captured in dim lighting conditions.

In the second session, there are different pictures for the reflections, complexity, and radiance and spotlights on dangers. Pictures that are gathered in this stage enact the ones caught via dream framework without or with less dynamic cooperation from the subjects, including many commotion issues. Those pictures will be on the acknowledgment stage when contrasted and the ones assembled in the primary session.

### C. Hardware framework and setup

- Model – Nikon E5700
- RGB color representation
- 71 mm focal length
- Speed: ISO-200
- W \* H = 2560 \* 1704
- H \* V resolution = 300 \* 300 dpi
- 24-bit depth
- JPEG format

### D. Manual Image Classification

All pictures from the two sessions are ordered by thinking about 3 parameters ('Focus', 'Reflections' and 'Obvious Iris') in 3 qualities scale ('Good', 'Normal' and 'Terrible'). The arrangement insights are portrayed in beneath table and allow another assessment factor: vigor to uproarious sign and individual flaw tolerant conduct.

TABLE II: Classification statistics

Parameter	Good (%)	Average (%)	Bad (%)
Focus	73.9	17.6	8.7
Reflections	58.9	36.8	4.4
Visible Iris	36.8	47.9	15.5

## UBIRIS V2

- Many experiments are conducted over the UBIRIS Version 1 database and reported criticisms about the noise factors.
- It is a novel tool for computation of visible wavelength iris detection under distant from the ideal imaging criteria. The device was Canon EOS 5D, with w \* h of 400 \* 300 pixels. RGB color, the format is tiff, with a vertical and horizontal resolution of 72dpi, and a bit depth of 24 bit. From 261 subjects 522 irises are captured. Between 3-10 meters marks were put from the acquisition gadgets. 2 different image acquisition sessions are performed. The images are captured by Latin Caucasian (90%), black (8%) and Asian people (2%). Around 60% of the subjects engaged with both imaging sessions, whereas 40% can be performed solely within a time frame.

- Subjects must stroll at a marginally slower than ordinary speed and gaze at numerous parallel denotes that obliged them to turn head and eyes, empowering the manual catching of 3 pictures for every meter, between 4-8 meters, gives 15 pictures for each eye and session, for a great many the people. To see this mentioned agreeable conduct had the one of a kind motivation behind normalizing the number of selective pictures per subject and imaging session.

### E. IIT Delhi (Version 1.0)

Current fame of iris-based individual recognizable identification frameworks especially for its usage in a nation of billion or more populace. The IIT Delhi Iris Database significantly has iris pictures accumulated IIT New Delhi. This database is gained in Biometrics Research Laboratory between Jan - July 2007 (still under progress) by utilizing JIRIS. The picture securing program was composed to recover and spare these pictures in bitmap design and is additionally uninhibitedly accessible dependent on demand. The database of 1120 pictures is organized into 224 particular envelopes that each picture is mapped with a whole number distinguishing proof/number. The goals of those pictures are 320 \* 240 pixels and every one of those pictures was gained in the indoor condition.

### F. BATH DATABASE

The University of BATH IRIS picture database contains around 16000 iris pictures from 800 eyes of 400 typical subjects, formed at the University of BATH. The resolution of the images is 1280\* 960. It aftereffects of a venture which means to manufacture a "top-notch iris picture resource"[1]. Mainly database involves pictures selected from understudies and staff of the University of Bath the pictures are taken with ISG LW-1.3 – S – 1394 1.3 Megapixel camera, mounted on a stature movable camera-stand. 200 frames from each subject are captured among the 20 of the best quality are selected to store into the database. The brightening was given through a variety of infrared LEDs, situated underneath the camera and set at an edge with the end goal that reflections were limited to the student. Further, an infrared pass channel was utilized keeping in mind the end goal to remove the sunlight and other natural light reflections on the irises area. In this way, this system builds the quality of the pictures, while turned it less suitable for the testing of iris acknowledgment technique.

### G. ICE Database

The Iris Challenge Evaluation (ICE) database is included 2954 pictures with many pictures per subject. Correspondingly to the staying open iris databases, its pictures were caught having high quality. The images are retrieved with look and stair, near distance and also NIR light. In this manner, the commotion factors that the ICE Database contains are solely related to iris checks and poor centered pictures. Curiously, there are a few pictures that were purposely pivoted. Additionally, a few irises were mostly caught. These images are inappropriate to evaluate the unconstrained iris recognition system.

H. University of Tehran IRIS

UTIRIS picture store is the main iris biometric databank enrolled in two distinct sessions of Visible Wavelength (VW) and Near Infrared (NIR) imaging from 24-27th of June 2007. At visible wavelength, the image capturing device was Canon EOS 10D, RGB Color with JPEG format and with a resolution of 2048\*1360. And at NIR wavelength the capturing device was ISG Lengthwise LW, with a gray-scale image or BMP format and 1000\*776 resolutions.

I. UPOL Iris Database

The UPOL (University of Palackeho and Olomouc) is the principal database which utilized imaging structure with an unmistakable wavelength light source. The database put away 384 pictures gathered from 64 human subjects, with each subject contributing 6 pictures (3 from the left eye and 3 from right eye), and a SONY DXC950P 3CCD camera associated with TOPCON TRC50IA optical gadget was utilized for iris catching, with a goal of 576x768 RGB 24-bits per color depth. Indeed, even the unmistakable wavelength light source was kept in a place that can't impact the human iris, and, subsequently, the yield was amazingly clamored free pictures and has comparative highlights, that make the database which isn't appropriate to test unconstrained iris acknowledgment calculations

J. MMU Database

The Multimedia University built up a little dataset of 450 iris pictures (MMU) [3] [4]. They caught by one of the most well-known IR cameras as of now working (LG Iris Access 2200). This is a semi-computerized camera that works with a scope of 7-25 cm. further, another information set(MMU2) contains 995 iris pictures were discharged and another general iris acknowledgment camera (Panasonic BM-ET100US Authenticam) was utilized. The iris pictures are from 100 subjects with particular ages and nationalities.

K. WVU DATABASE:

The presented calculation was investigated in the WVU Iris picture database [10]. The West Virginia University 5 built up an iris picture database (WVU) contains 1852 images from 380 distinct eyes. WVU database pictures are caught under less compelled imaging limitations, which consolidate numerous sorts of noise, similar to iris obstacles, less thought, and off-point iris pictures. There are some iris pictures with significant locales affected through specular and lighting reflections, which is distinguished as the most well-known sort of clamor because of characteristic light imaging situations. In this work, we have utilized 4 iris pictures of 18 distinct individuals. Among the 4 pictures, 2 of them are frontal pictures and the other two are off-point pictures (15 & 30 degrees).

L. Comparisons of IRIS Databases

The following table presents the comparisons by considering the factors like size, wavelength, varying distance, capturing device, sample image, and observations.

A. TABLE III: Comparisons of IRIS Databases

Sample image	Name of the Database	Size	Wavelength	Varying distance	Capturing device	Observations
	CASIA V1	756	NIR	NO	CASIA Camera	Segmentation easier.
	CASIA V2	1200	NIR	NO	CASIA Camera	Subset of the subsequent version.
	CASIA V3	22034	NIR	NO	OKI IRIPASS-H	Images closer to version 1 with exception of manual pupil filling
	CASIA V4	2576	NIR	YES	IKEMB-100 DUAL CAMERA	Expansion to predecessors but contains images with different ages.
	UBIRIS V1	1877	VISIBLE	NO	NIKON E5700	Heterogenous lighting environment used. Reflection and obstructions are observed.
	UBIRIS V2	11357	VISIBLE	YES	CANON EOSSD	Different lighting environment considered
	IITD	1120	VISIBLE	NO	CMOS	Varying image quality is available with these images.
	BATH	1000	NIR	NO	ISG Lightwise LW-1.3-S-1394	High homogeneous lighting environment, contains obstructions due to eyelids and eyelashes
	ICE	2954	NIR	NO	LG EOU2200	Images are not appropriate for evaluation of unconstrained iris recognition system.
	UTIRIS	1540	VISIBLE	NO	Canon EOS 10D, ISG Lightwise LW	Contains some noises in the images
	UPOL	384	VISIBLE	NO	SONY DXC-950P 3CCD with TOPCON TRC50IA	Noise free images under high unconstrained environment.
	MMU	995	NIR	NO	PANASONIC BM-ET100US	Noise factors avoided
	WVU	1852	NIR	NO	OKI IRIPASS-H	Poor lighting, defocus blur, off angle and heavy occluded images.

IV. IRIS RECOGNITION STEPS

The different technical issues indulged in iris recognition divided in to '4' sub-sections. The primary set of issues incorporates image acquisition. The noise-like eyelids check, eyelash hindrance, lighting reflections, blurred motion, off-edge, out of iris, poor focused, and specular reflections occurred during the initial step and which overcomes in the subsequent stages. The subsequent advance incorporates the iris segmentation from an iris image. The 3<sup>rd</sup> part includes extraction from the segmented image from the previous step. At long last, the fourth part manages the pattern recognition algorithm to match the specific pattern of iris.

A. Image acquisition

To get the accurate recognition of the iris pattern, the pictures caught that can find iris plays an essential job. An excellent picture with negligible noise is required generally prompts to an incorrect result. Under specific limitations, the images are found and kept aside in the database with a fixed organization.

B. Preprocessing

Iris recognition mainly used for the identification of a person using a similar pattern. Iris is situated between the sclera and the pupil. At a point when the picture caught as a result of the gadget properties and the



wavelength can incorporate noise as referenced previously. Various kinds of noise like Salt and Pepper, Speckle, Amplifier, Short noise, etc., each having distinctive factual properties. This progression incorporates the expulsion of noise by utilizing many existing strategies among which a Canny edge identifier is the prevalent one. This calculation incorporates 5 stages:

- (i). Smoothing: Blurring the picture to expel the noise.
- (ii). Detection of gradients: The edges ought to be checked in which the gradient of the image is having large magnitudes.
- (iii). Double thresholding: thresholding controlled by potential edges.
- (iv). Three primary advances are finding the angle, non-most extreme concealment, and the hysteresis thresholding. While arranging the different denoising channels are separated into conventional filters such as spatial, transform, fuzzy-based filters, etc.

#### **Spatial Domain:**

In the spatial space, different filters (i.e. mean, median filters), all work legitimately on the captured image. These filters legitimately take a shot at the pixels of the original images. For the noise (impulse) Median channel [40] is contrasted with every single other channel. The upgraded filter like the weighted average filter is additionally utilized. Contrasted with different spatial based channels, Weiner channel gives the best examination contrasted with Gaussian, Poisson, and Speckle noise.

#### **Transform Domain:**

The given input signal is changed into another space, denoising followed by inverse transform is done to get the output. Few techniques include Fourier transform, Hilbert, Wavelet change, and so forth. The Fourier transform is the famous one among that Fast Fourier Transform it the best. For picture denoising, Wavelet transforms further gives different apparatuses to evacuating noise like thresholding, non-symmetrical wavelet change, and Coefficient model.

#### **Fuzzy classical filters:**

The well-known fuzzy classical filters which incorporate Fuzzy Median Filter, Fuzzy motivation commotion recognition and decrease strategy, Fuzzy irregular drive clamor decrease technique, Fuzzy arbitrary motivation noise decrease strategy, Fuzzy weighted mean, and Adaptive weighted fuzzy mean. In the Fuzzy Median Filter and Fuzzy weighted mean channel, the fluffy rationale is added to upgrade the conventional middle and mean channels. Fuzzy impulse noise recognition and decrease strategy and fluffy arbitrary motivation commotion decrease technique are two-advance strategies. In the initial step, noisy pixels are recognized from the information picture and after the identification method, noise is expelled from the distinguished pixels. The fuzzy logic is utilized to distinguish the debased pixel. In separating, strategy means channel, Median channel, Weighted mean channel are expanded utilizing fuzzy logic.

#### **Fully Fuzzy Filters:**

These are fuzzy de-noising strategies that have no association with customary techniques. This incorporates Dual advance fuzzy inference administered by an else-activity filter, Piecewise Linear fuzzy inference managed by an else-activity filter, Gaussian noise decrease channel, Histogram adaptive filter and Fuzzy Inference Rule by Else-action (FIRE). The FIRE filters are nonlinear channels that receive a fluffy standard to process picture information.

### **C. Segmentation**

Image segmentation is the way toward partitioning the picture into zones or classes, which identity with different things or parts of items. Every pixel in an image is distributed to one or various classes. A not too bad division is typically one in which: pixels in a comparable class have the comparable dim size of multivariate characteristics and structure a related locale and neighboring pixels that are in different characterizations have one of a kind characteristics. There are 3 general approaches to manage division that incorporates Thresholding, Edge-based, and district-based systems.

#### **Thresholding**

In Thresholding, pixels are administered to classes according to the extent of qualities wherein a pixel lies. Pixels with values under 128 have been set in one class, and the rest have been placed in the other order. It will, in general, be seen that the point of confinement has successfully divided the image into two common fiber kinds.

#### **Edge-based methods**

In this an edge medium can be applied all through the picture, pixels are appointed edge or non-edge dependent upon the medium result, and not segregated pixel by an edge is disseminated to a comparative class.

#### **Region-based method**

Region base computations work repeatedly by gathering pixels which are nearer and have near characteristics. Coming up next is a segment of the Segmentation strategies used in Iris Recognition calculations.

#### **(a) integrodifferential Operator**

Daugman utilizes an Integro-differential operator for segmenting the iris. It detects both inward and the external limits of the iris locale which are alluded to as limbic and student limits. The parameters, for example, the middle and span of the roundabout limits are being looked in the 3-D parametric space to expand the assessment capacities engaged with the model. This calculation accomplishes the elite in iris acknowledgment. It is having a disadvantage that it experiences a substantial calculation.

#### **(b) Hough Transform**

Circular Hough Transform (CHT), which is utilized for iris confinement. The benefit of this technique is that it gives division exactness up to a degree. The downside of this methodology is that it doesn't give any regard for eye top confinement (EL), reflections, the eye remains, and shadows

#### **(c) Masek Method**

The drawback of CHT for iris segmentation is just as the understudy region, occlusion of eye tops just as the eyelashes, and likewise, the reflection occurs. The disadvantage of this methodology is that the iris division isn't that precise and furthermore the speed of the framework is low.

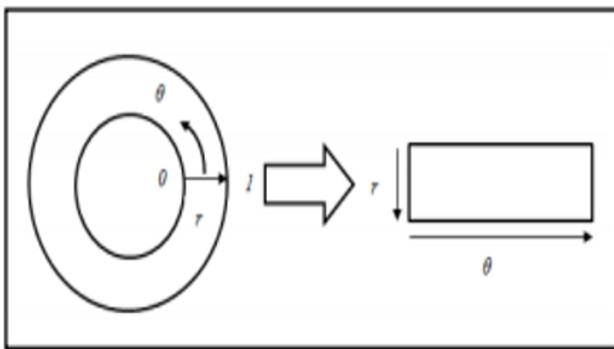
#### **(d) Fuzzy clustering method**

This has been utilized to group each pixel and afterward create the middle of the intermediate picture. This correspondent picture is then utilized by the edge-indicator calculation. As it has extra homogeneous qualities, this facilitates the tuning of the parameters which were required by the edge-locator calculation.

The fundamental favorable position of this strategy is that it gives a superior division to non-co-employable iris acknowledgment. The significant downside of this strategy is that an exhaustive (broad) search is expected to perceive the hover parameters of both the student just as the iris limits.

## A. Normalization

After segmenting the iris region is changed over into a rectangular square with fixed measurements. To increase the accuracy, Rubber Sheet Model presented by Daugman. Picture Registration presented by Wildes and Virtual Circle of boles. In this stage, the Homogenous Rubber Sheet Model is the most well-known calculation utilized in the stage. The Homogeneous Rubber Sheet Model allocates each point in the iris district as pair polar coordinates  $(r, \theta)$ , here 'r' in between  $[0, 1]$ , and ' $\theta$ ' is an angle between  $[0, 2\pi]$  and the same is illustrated in Fig. 2.



**Fig.2 Rubber Sheet Model**

Re mapping the coordinates and normalizing the polar representation is given in the following equations:

$$I(x(r, \theta), y(r, \theta)) \rightarrow I(r, \theta)$$

With

$$x(r, \theta) = (I-r)x_p(\theta) + r x_1(\theta)$$

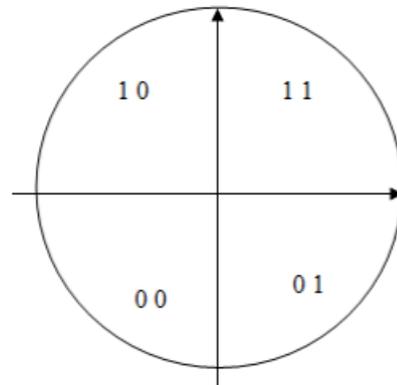
$$y(r, \theta) = (I-r)y_p(\theta) + r y_1(\theta)$$

Here,  $I(x, y)$  in the region of the iris, and  $(x, y)$  is the original Cartesian coordinates,  $(r, \theta)$  is the normalized polar coordinates  $x_p, y_p$ ,

## B. Feature Extraction

When the iris region has been effectively standardized, the following stage is to extricate critical data from the iris pattern that has been removed from the standardized iris picture. The extricated highlights are encoded to create the iris format. Different iris recognitions exploit utilizing bandpass disintegration of iris pictures to create a biometric layout. The most regularly utilized algorithm in this stage is wavelet change and Gabor channel. Among the upsides of wavelet change over Fourier change, the wavelet has both space and recurrence goals for removing huge highlights. Consequently, the last yield from the wavelet channel is encoded and produced a biometric format. The Gabor channels are additionally used to extricate highlights from iris utilizing 2D. The channels are characterized by consonant capacity and increased utilizing Gaussians' work which gives the best restriction in both spatial and recurrence areas. Notwithstanding, each example is isolated to remove data utilizing quadrature 2D Gabor wavelets. The quadrants are subdivided into four in the mind-boggling plane. In this way,

every quadrant would supplant with two bits of data, and afterward, every pixel from the standardized picture (standardization arrange) is extricated into two bits code in the layout. Fig. 3 further outlines stage quantization for separating critical data from the iris design.



**Fig. 3 Phase quantization**

## C. Matching

In the stage of pattern matching, the configurations made at the component extraction stage to measure the closeness between two iris formats. This stage measures the closeness and difference between the two double codes for picking affirmation or expulsion. With everything taken into account, there are three Algorithms at the planning stage. The most usually utilized Algorithm in the coordinating stage is Hamming separation. The Hamming separation estimates factual autonomy between two iris formats. The operator  $\square$  represents the XOR operator which thinks about a tiny bit at a time and the coherent AND administrator which takes a typical region between two lattices as a substantial iris district. The Algorithm estimates iris design contrasts between the two iris formats on bit-to-bit mode. The iris extricated from a similar individual eye, the factual freedom, and Hamming separation will be zero. Then again, the two individual irises separated from iris layouts will have a Hamming separation near 0.5. Subsequently, thus, it is important to set an appropriate limit when utilizing Hamming separation. This advances progressively accurate matching. Few negatively identified images in the iris recognition framework increase False Rejection Rate (FRR) and False Acceptance Rate (FAR) thus, diminishing the exhibition of an acknowledgment framework. A large portion of the iris datasets is free and accessible in the open area for simple access and use. Among the iris acknowledgment datasets, CASIA is considered as the biggest datasets contrasted and six others, for example, UBIRIS, ICE 2005, IIT Delhi and UPOL. They are critical for approving Algorithm execution. As of now the examinations of the current openly free databases are referenced previously.

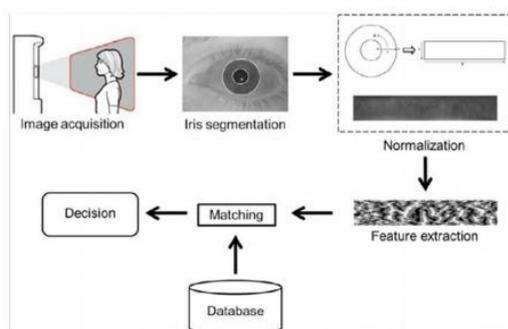


Fig. 4 Iris Recognition method

#### V. A NEW DATABASE:

A. This section includes the demonstration of a new Iris database with the following specifications

#### B. Iris Image acquisition Framework

I am pleased to submit my report on the introduction of a new database which can be a public open database of iris images. I have tried my best to come up with a new database that can be further useful in the research of biometrics. In the previous sections, I have mentioned the various existing iris databases their specifications and the framework, camera used for capturing the image of iris.

An iris image database is constructed to help the research community in the area of biometric and other related technologies with an accentuation on Indian subjects for database collection. The pictures are made by utilizing the accompanying obtaining properties.

#### • Camera :

The camera assumes a significant job in the achievement of the iris recognition framework. The Iris is a touchy piece of the eye that can't be situated with fewer goals cameras. The Iris is the exceptionally meager layer situated between the understudy and sclera of the eye. To catch this structure it requires a specific camera. The gadget that is utilized for making the database and finding iris is demonstrated as follows

#### C. Image of an eye:

The following is the image of an eye that was captured using the camera and includes the parts of the eye.

- Upper eyelid and lower eyelid: A slender crease of skin that spreads and secures the human eye.
- Upper eyelashes and lower eyelashes: The hairs at the edge of the eyelid. Shields the eye from garbage and are touchy to being contacted.
- Sclera: the white external layer of the eyeball. At the front of the eye, it is consistent with the cornea (straightforward layer framing the front of the eye).
- Pupil: The pupil is a gap situated in the focal point of the iris of the eye that enables light to strike the retina.

- Retina: Is the most profound, light-fragile layer or "coat" of shell tissue of the eye of most vertebrates and a couple of molecules. The optics of the eye make a drew in a two-dimensional image of the visual world on the retina, which makes an understanding of that image into electrical neural main impetuses to the brain to make the visual insight, the retina serving plenty of comparative limits as a film or a CCD in a camera
- Medial/Lateral Canthus: Is either corner of the eye where the upper and lower eyelids meet. All the more explicitly, the inward and external canthi are individual, the average and sidelong closures/points of the palpebral gap.
- Iris: Plural known as Irides or Irises is a slim, round structure in the eye, liable for controlling the breadth and size of the understudy and along these lines the measure of light arriving at the retina.
- Collarette: The rough hover in the mid-width of the iris, isolating the darker shade of the iris from the lighter shade of the iris.
- Limbus: The corneal limbus is the fringe of the cornea and sclera. It is a typical site for the event of corneal epithelial neoplasm.

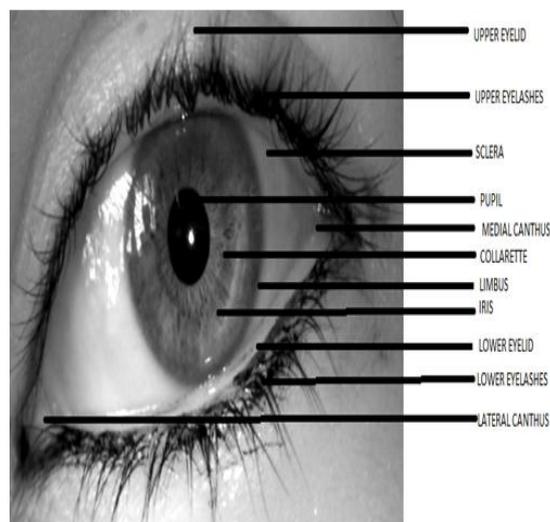
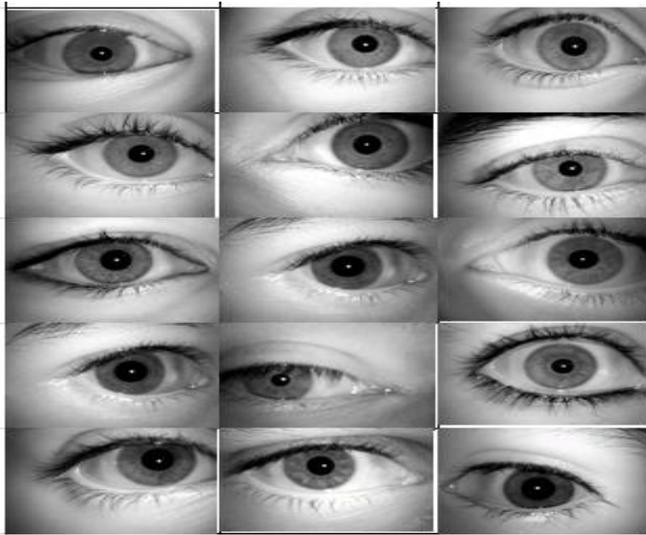


Fig.5 Image of IRIS

This database contains a total of 788 images taken for both left and right eyes which includes 50 subjects. This includes various age groups from 8 years to 40 years with gender as male and female. The dataset includes many images where different noises though exists this dataset can be used for authentication.

#### D. Sample Images:



## VI. RESULTS AND DISCUSSIONS

Many parameters in the existing databases are considered like visible wavelength, partially occluded, motion blurred, light reflection, specular reflection, eyelids, eyelashes, poor focus etc. In this paper we compared our images with some of the existing databases and presented the comparison of different noise levels. The different noises that are possible in this

**Motion blurred:** This noise occurs when the subject is not focused while capturing the Iris.

**Poor focused:** While capturing this iris image the focused eye image gives better results when off-angle images are located decreases the efficiency of the system

**Partially occluded:** It happens because of eyelids and eyelashes. If a part of iris is not perfect due to eyelids and eyelashes the authentication of a person is not possible which leads to failure of the system.

**Visible wavelength:** This iris can be located from subjects on move between 4 and 8m under dynamic lighting conditions and unconstrained environment which is not harmful to human eye.

Table 1 is presenting the comparisons of the noises in different databases along with the new dataset.

## VII. CONCLUSIONS

This paper includes the introduction to iris recognition, applications, Iris recognition procedure. A brief description of each step is defined along with advantages and disadvantages. Different databases are mentioned along with their specifications. We present the work to introduce a new freely available public database that can be utilized for the identification and authentication of a person. The sample images are given can be used by the researchers for the reliable identification system.

**Table 1 Comparing our dataset with other existing methods**

Database	Eyelashes	Eyelids	Specular reflection	Light reflection	Motion blurred	Poor focused	Partially occluded	Visible wavelength
casia 1	√	√	-	-	-	-	-	-
casia 2	√	√	-	-	-	-	-	-
casia 3	√	√	-	-	-	-	-	-
casia 4	√	√	-	-	√	√	√	-
UBIRIS 1	√	√	√	√	√	√	√	√
UBIRIS 2	√	√	√	√	√	√	√	√
ICE	√	√	-	-	-	-	√	-
MMU	√	√	-	-	-	-	-	-
WVU	√	√	-	-	√	√	√	-
NEW DATA SET	-	-	-	-	-	-	-	√

images eyelashes, eyelids, specular reflection, light reflection, motion blurred, poor focus, gaze deviated, partially occluded, visible wavelength etc.

**Eyelashes:** This is the noise occurring because of the partial eyelashes when covering the pattern of the iris.

**Eyelids:** This noise is similar to the eyelashes when the subject is not opening the eyes and if the iris is captured it is not efficient for the authentication of a person.

**Specular reflection:** If specular reflection is available in the iris image that occurs because of reflection while capturing the image causes the increase in non-matching rate.

**Light reflection:** Reflection is invisible wave length image is more severe than in NIR image. If this noise occurs leads to poor accuracy in the system.

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